OFGS FILE NO. P/2167-158

| As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYSTEM AND METHOD FOR INTEGRATING TRADING OPERATIONS INCLUDING THE | | | | | | | |
|--|--------------------------|---|-------------------------|---------------------------------------|-------------------------------|---|--|
| SYSTEM AND METHOD GENERATION, PROCE | | | | | | LUDING THE | |
| GENERALION, PROCE | SSING AND 1 | RACKING C | OF AND IRAD | E ACC | LIMOU. | | |
| the specification of which is attached | • | _ | | | | | |
| was filed onapplication number | | as United Sta | ates patent Application | Number o | r PCT Inte | mational patent | |
| | | | | | | | |
| I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56. I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing | | | | | | | |
| date before that of the application on | which priority is claime | d: | | | | | |
| Prior Foreign or Provisional Applicati | | | | | - 1 | | |
| COUNTRY | | APPLICATION NUMBER DATE OF FII (day, month, | | th, year) | | PRIORITY CLAIMED UNDER 35 U.S.C. 119 | |
| United States | 60/113,561 | /113,561 23/12/98 | | | YES X NO | | |
| United States | | | 23/12/98 | | | YES X NO | |
| I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application. | | | | | | | |
| UNITED STATES APPLICATION NUMBER | | DATE OF FILING (day, month, year) | | STA | | STATUS pending, abandoned) | |
| ATTECATION NUMBER | | (uuy, monin, yeur) | | | (parenea, penaing, uounaonea) | | |
| | | | | | | | |
| I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberstein - Reg. No. 22,400; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625 and Douglas A. Miro - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence. | | | | | | | |
| SEND CORRESPONDENCE TO: OSTROLENK, FABER, GERB & SOFFEN, LLP DIRECT TELEPHONE CALLS TO: 1180 AVENUE OF THE AMERICAS (212) 382-0700 NEW YORK, NEW YORK 10036-8403 CUSTOMER NO. 2352 | | | | | | | |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. | | | | | | | |
| FULL NAME OF SOLE OR FIRST INVENTOR INVENTOR'S SIGNAL Albert Kwang-Hwa SUN | | | TURE DATE | | DATE | | |
| <u> </u> | | | COUNTRY | Y OF CITIZE | ENSHIP | | |
| Brooklyn, New York Unite | | | | | ed States | | |
| POST OFFICE ADDRESS 322 13 th Street, Brooklyn, New York 11215 | | | | | | | |
| FULL NAME OF SECOND JOINT INVENTO | | INVENTOR'S SIGNA | TURE | | DATE | | |
| Tak Ming CHAN | | | | | | | |
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| UNITED SZED AS OF AMERICA COMBINED DECLARATION AND PO F ATTORNEY FOR PATENT APPLICATION | | | | OFGS FILE NO. P/2167-158 | |
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| COUNTRY | APPLICATION NUMBER | DATE OF FILING (day, month, year) | | PRIORITY CLAIMED UNDER 35 U.S.C. 119 | |
| PCT | PCT/US99/26672 | 11/12/99 | | YES X NO | |
| | | <u> </u> | | YES NO | |
| *** **** **** ************************ | | · · · · · · · · · · · · · · · · · · · | | YES NO | |
| · · · · · · · · · · · · · · · · · · · | | <u> </u> | | YES NO | |
| <u>-</u> | | | · | YES NO | |
| | - | - | · | YES NO | |
| | | | | YES NO | |
| | | | | YES NO | |
| | | | | YES NO | |
| *************************************** | | | | YES NO | |
| | | | - | YES NO | |
| | | | | YES NO | |
| be true; and further that these statement | s made herein of my own knowledge ents were made with the knowledge th 1 1001 of Title 18 of the United States eon. | nat willful false statements an | d the like so | o made are punishable by fine or | |
| full name of fourth joint inventor, if any Nicole Gabrielle Rodriguez TOULIS | | INVENTOR'S SIGNATURE | | DATE | |
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| Tsuen Wan, Hong Kong | | | Hong Kong, China | | |
| POST OFFICE ADDRESS Flat E, 18/F, Tower 3, Di | iscovery Park, Tsuen War | ı, Hong Kong | | | |
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| Shan Kwong Road, Happ | y Valley | | Hong | Kong, China | |
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| FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Sammy Shun Yuen FUNG | | INVENTOR'S SIGNATURE | | DATE | |
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| Kowloon, Hong Kong | | | Canac | 1a | |
| POST OFFICE ADDRESS 5E, 5 Perth Street, Ilford | Court, Kowloon, Hong K | ong | | | |
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| | S OF AMERICA D POWER OF ATTORNEY FOR PA | TENT APPLICATION | | OFGS FILE NO. P/2167-158 | | |
|--|--|--------------------------------|---|---|--|--|
| COUNTRY | APPLICATION NUMBER | DATE OF FILIN | | PRIORITY CLAIMED UNDER | | |
| | | (day, month, yea | <u>(r)</u> | 35 U.S.C. 119 | | |
| | | <u> </u> | | YES NO | | |
| | | | | YES NO | | |
| | | | | YES NO | | |
| | | | | YES NO | | |
| | | | · | YES NO | | |
| | | | | YES NO | | |
| | | | | YES NO | | |
| | | | _ | YES NO | | |
| | | | | YES NO | | |
| | | | | YES NO | | |
| | | | | YES NO | | |
| | | | | YES NO | | |
| be true: and further that these stateme | ints were made with the knowledge the 1001 of Title 18 of the United States | at willful false statements an | d the like so | information and belief are believed to o made are punishable by fine or ents may jeopardize the validity of the | | |
| FULL NAME OF NINTH JOINT INVENTOR Yoke Bee YAP | FULL NAME OF NINTH JOINT INVENTOR, IF ANY YOKE Bee YAP | | | DATE | | |
| RESIDENCE (City and either State or Foreign Country) New York, NY | | | COUNTRY Malay | y of Citizenship /sia | | |
| POST OFFICE ADDRESS | W2A, New York, NY 10 | 021 | • | | | |
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| FULL NAME OF ELEVENTH JOINT INVENTOR, IF ANY Kelvin Yatsun LEUNG | | INVENTOR'S SIGNATURE | | DATE | | |
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| full name of twelfth joint inventor, if any Kwok Keung YEUNG | | INVENTOR'S SIGNATURE | | DATE | | |
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| POST OFFICE ADDRESS | nshine City Man on Shar | . Shatin, Hong Kor | <u> </u> | ************************************** | | |
| Block G, 20/F, Flat 1, Sunshine City, Man on Shan, full name of thirteenth joint inventor, if any Man Pui TSIM | | INVENTOR'S SIGNATURE | | DATE | | |
| RESIDENCE (City and either State or Foreign Country) | | | COUNTRY OF CITIZENSHIP | | | |
| Hong Kong Australia | | | | | | |
| POST OFFICE ADDRESS 37C Ko Fung Court, Foo | k Yum Street, Hong Kong | | | | | |